



PBC No:114 / 2023

RBENo: -- / ----

# दक्षिण रेलवे Southern Railway प्रधान मुख्य कार्मिक अधिकारी कार्यालय

## Office of the Principal Chief Personnel Officer प्रधान कार्यालय, कार्मिक विभाग, चेन्नै-600003 Headquarters, Personnel Department, Chennai-600003

सं/No: P(R) 436 / Misc. / Vol.IV दिनांक/Dated:30.05.2023

All PHODs/ DRMs/ CWMs/ CEWE/ CAO/ CPM/ PDA/ Dy.CPOs/ Sr.DPOs/ Secy to GM, Chairman/RRB/MAS,TVC, Addl.Registrar/RCT/MAS, Secretary/RRT/MAS, Principal MDZTI/TPJ, SRCETC/TBM, ZETTC/AVD, DPOs/SPOs/WPOs/APOs of HQ/Divisions /Workshops/Units.

विषय/Sub:Official Dealings between the Administration and Members of Parliament and State Legislature – Observance of Proper Procedure.

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A copy of Railway Board's letter No.2021/O&M/2/13 dated 18.05.2023 alongwith its enclosures on the above subject is enclosed for information, guidance and necessary action.

Railway Board's letter dated 03.11.2021 refered therein is enclosed for reference.

Encl.26 pages

उपमुकाधि/समन्वयन /Deputy Chief Personnel Officer / Co-ord कृते प्रमुकाधि/For Principal Chief Personnel Officer

प्रतिलिपि/Copy to: The General Secretary/SRMU

The General Secretary/AISCTREA The General Secretary/AIOBCREA The General Secretary/NFIR

IT Section/PB/HQ - to upload in the SR website

DRM/TUC DRM/SA

DRMITPJ

DRMIMOU

All PHODS

DRM/ PGT

GENERAL MANAGER'S OFFICE

1 9 MAY 2023

महाप्रवंधक का कार्यालय

दक्षिण रेलवे/Southern Railway चेन्नै/Chennai-600 003



भारत सरकार GOVERNMENT OF INDIA रेल मंत्रालय MINISTRY OF RAILWAYS (रेलवे बोर्ड) (RAILWAY BOARD)



No. 2021/O&M/2/13

New Delhi, Dated: 18.05.2023

The General Manager All India Railways/PUs DG/RDSO & DG/NAIR CMDs/MDs of PSUs.

> Sub: Official Dealings between the Administration and Members of Parliament and State Legislature-Observance of Proper Procedure

Ref.: Board's letter No. 2021/O&M/2/13 Dated: 03.11.2021

Attention is invited to guidelines contained in Board's letter under reference and the guidelines/instructions issued by the Ministry of Personnel, Public Grievances and Pensions (Department of Personnel and Training) vide their OM No. 11013/14/2022 Estt(A)-III dated 13.09.2022(copy enclosed) on Official dealings between the Administration and Members of Parliament and State Legislatures - observance of proper procedure.

It has been brought to notice that the extant instructions are not being followed 2. scrupulously. Any kind of laxity in official dealing/courtesy/promptness is unacceptable and non adherence may invite serious action against the erring Railway Official. As such, it is reiterated that the guidelines as contained in above mentioned Board's letters be complied with.

Encl: Two

(T. Srinivas) Joint Secretary/Railway Board t.srinivas1@gov.in

> Tele No 011-47845551 Room No.105, 1st Floor

Railway Board

# F. No. 11013/14/2022-Estt.A-III Government of India Ministry of Personnel, Public Grievances and Pensions Department of Personnel & Training

North Block, New Delhi Dated 13 September, 2022

#### OFFICE MEMORANDUM

Subject: Consolidated guidelines on official dealings between the Administration and Members of Parliament and State Legislatures — Observance of proper procedure

The Members of Parliament and State Legislatures, as the accredited representatives of the people, occupy a very important place in our democratic set-up. In connection with their duties, they often find it necessary to seek information from the Ministries/ Departments of the Government of India or the State Governments, or make suggestions for their consideration or ask for interview with the officers.

- 2.The comprehensive guidelines regarding observance of proper procedure in official dealings between Administration and Members of Parliament and State Legislatures were issued by the Ministry of Personnel, Public Grievances and Pensions, vide OM No. 11013/4/2011-Estt.(A) dated 01.12.2011, to all the Ministries/Departments and to Chief Secretaries of all Sates/UTs, with the request to circulate these instructions to all State Government officials at the State/Division and District levels, in order to sensitize them with regard to their duties and obligations in relation to dealings with Members of Parliament and State Legislatures. These instructions have also been reiterated, from time to time, for compliance of the Government officials, both in letter and spirit. The provisions of the Central Secretariat Manual of Office Procedure regarding prompt disposal of communications from MPs have also been reiterated from time to time.
- 3. In an exercise to bring various instructions/guidelines on a subject initiated by DOP&T at one place, the various Instructions (referred to in the **Annexure**) issued on the matter of observance of proper procedure in official dealings between Administration and Members of Parliament and State Legislatures have been consolidated and it has been decided to issue a comprehensive Office Memorandum on this subject. Accordingly, the essence of the various instructions/guidelines issued on the subject has been summarized in the following paras forguidance and better understanding of all the stakeholders: -

# 4. PROMPT DISPOSAL OF LETTERS RECEIVED FROM MEMBER OF PARLIAMENT:-

- Communications received from a Member of Parliament should be attended to promptly.
- (ii) Where a communication is addressed to a Minister, it should as far as practicable, be replied to by the Minister himself. In other cases, a reply should normally be issued over the signature of an officer of the rank of Secretary only.

- (iii) Where a communication is addressed to the head of an attached or subordinate office, Public Sector Undertakings, Financial Institutions (including nationalized banks) Division/Branch-in-charge in a Ministry/Department/Organization, it should be replied to by the addressee himself. In routine matters, he may send an appropriate reply on his own. In policy matter, however, it should be ensured that the minimum level at which such replies are sent to Member of Parliament is that of Under Secretary and that also in a polite letter form only.
- (iv) Normally information sought by a Member should be supplied unless it is of such a nature that it would have been denied to him even if asked for on the floor of the Houses of Parliament.
- (v) As far as possible, for correspondence with Member of Parliament, preprinted replies should be avoided.
- (vi) In case a reference from an ex-member of Parliament is addressed to a Minister or Secretary, reply to such reference may be sent by the concerned Divisional Head after obtaining approval of the Secretary of Ministry/Department.In case the reference is addressed to a lower level officer, reply to suchreference could be sent by the officer on his own in nonpolicy cases and after obtaining approval of the higher authorities in policy cases. However, the minimum level at which a reply could be sent should be that of an Under Secretary and that too in a polite letter form only.
- (vii) Each communication received from the Member of Parliament, a member of the public, a recognized association or a public body will be acknowledged within 15 days, followed by a reply within the next 15 days of acknowledgement sent.
- (viii) Where (a) delay is anticipated in sending a final reply; or (b) information has to be obtained from another Ministry or another office, an interim reply will be sent within 15 days (from the date of receipt) indicating the possible date by which a final reply can be given.
- (ix) If any such communication is wrongly addressed to a department, it should be transferred promptly (within 5 working days of its receipt) to the appropriate Department under intimation to the party concerned.
- (x) Where the request of a Member of the Public cannot be acceded to for any reason, reasons for not acceding to such a request should be given courteously.
- (xi) As far as possible, requests from members of public, should be looked at from the user's point of view and not solely from the point of view of what may be administratively convenient.

[Para 8.8 and 8.9 of the CSMOP, 2019]

#### 5. BASIC PRINCIPLES TO BE BORNE IN MIND BY THE GOVERNMENT SERVANTS WHILE INTERACTING WITH THE MEMBERS OF PARLIAMENT AND STATE LEGISLATURES:

#### (A) Courteous behavior with Members of Parliament and State Legislatures

(i) Every member of the service shall, in the discharge of his duties, act in a courteous manner and shall not adopt dilatory tactics in his dealings with the public or otherwise.

[Rule 3(2A) of All India Services (Conduct) Rules, 1968]

(ii) No Government servant shall (a) in the performance of his official duties, act in a discourteous manner; (b) in his official dealings with the public or otherwise adopt dilatory tactics or wilfully cause delays in disposal of the work assigned to him.

[Rule 3A of CCS (Conduct) Rules, 1964]

- (iii) Government servants should show courtesy and consideration to Members of Parliament and State Legislatures.

  (Para 5(i) of OM No. 11013/4/2011-Estt.(A) dated 01.12.2011)
- (iv) While the Government servants should consider carefully or listen patiently to what the Members of Parliament and of the State Legislatures may have to say, the Government servant should always act according to his own best judgment and as per the rules.

[Para 5(ii) of OM No. 11013/4/2011-Estt.(A) dated 01.12.2011]

- (v) Any deviation from an appointment made with a Member of Parliament/State Legislature must be promptly explained to him to avoid any possible inconvenience. Fresh appointment should be fixed in consultation with him. [Para 5(iii) of OM No. 11013/4/2011-Estt.(A) dated 01.12.2011]
- (vi) An officer should be meticulously correct and courteous and rise to receive and see off a Member of Parliament/State Legislature visiting him. Arrangements may be made to receive the Members of Parliament when, after taking prior appointment, they visit the officer of the Government of India, State Government of local Government. Arrangements may also be made to permit entry of vehicles of the Members to these Offices subject to security requirements/restrictions;

[Para 5(iv) of OM No. 11013/4/2011-Estt.(A) dated 01.12.2011]

(vii) The officers should not ignore telephonic messages left for them by the Members of Parliament/State Legislatures in their absence and should try to contact at the earliest the Member of Parliament/State Legislature concerned. These instructions also include SMS and e-mails received on official mobile telephones which also should be replied to promptly and on priority. (Para 5(xii) of OM No. 11013/4/2011-Estt.(A) dated 01.12.2011)

#### Public function or Meeting

(B)

(i) Members of Parliament of the area should invariably be invited to public functions organized by a Government office. Proper and comfortable seating arrangements at public functions and proper order of seating on the dais should be made for Members keeping in view the fact that they appear above officers of the rank of Secretaries to Government of Indiain the Warrant of Precedence; The invitation cards and media events, if organized for the function held in the constituency, may include the names of Members of that constituency who have confirmed participation in these functions. If a constituency of any Member of Parliament is spread over more than one District, the M.P. should invariably be invited to all the functions held in any of the Districts which are part of his/her constituency.

[Para 5(v) of OM No. 11013/4/2011-Estt.(A) dated 01.12.2011]

- (ii) Where any meeting convened by the Government is to be attended by Members of Parliament, special care should be taken to see that notice is given to them in good time regarding the date, time, venue etc. of the meeting. It should also be ensured that there is no slip in any matter of detail, however minor it may be. It should especially be ensured that:-
  - (a) intimations regarding public meetings/functions are sent through speedier communication devices to the M.P.s, so that they reach them well in time, and
  - (b) that receipt of intimation by the M.P. is confirmed/ by the officer/official concerned.

[Para 5(vi) of OM No. 11013/4/2011-Estt.(A) dated 01.12.2011]

# (C) Response to the communication of Member of Parliament and State Legislatures

(i) Letters from Members of Parliament and Members of State Legislatures must be promptly acknowledged, and a reply sent at an appropriate level expeditiously as per the relevant provisions of the Central Secretariat Manual of Office Procedure; [Para 5(vii) of OM No. 11013/4/2011-Estt.(A) dated 01.12.2011 and Para 8.9

Para 5(vii) of OM No. 11013/4/2011-Estt.(A) dated 01.12.2011 and Para 8.9 of CSMOP, 2019

- (ii) Information or statistics relating to matters of local importance must be furnished to the MPs and MLAs when asked for. The information so supplied should be specific and answer the points raised. A soft copy of the information should also be sent to the Member via e-mail.

  [Para 5(viii) of OM No. 11013/4/2011-Estt.(A) dated 01.12.2011]
- (iii) If the information sought by a Member of Parliament cannot be given and is to be refused, instructions from a higher authority should be taken and the reasons for not furnishing the information should be given in the reply.

  [Para 5(ix) of OM No. 11013/4/2011-Estt.(A) dated 1.12.2011]
- (iv) Wherever any letter from a Member of Parliament is in English and the reply is required to be given in Hindi in terms of the Official Languages Act, 1963 and the rules framed there under, an English translation should also be sent along with the reply for the convenience of such Members of Parliament from non Hindi speaking areas.

[Para 5(x) of OM No. 11013/4/2011-Estt.(A) dated 1.12.2011]

- (v) References from the Committees of Parliament must be attended to promptly. [Para 5(xi) of OM No. 11013/4/2011-Estt.(A) dated 1.12.2011]
- (vi) All Ministries/Departments may ensure that the powers of Members of Parliament/State Legislatures as Chairpersons/ Members of Committees under various Centrally Sponsored/Central Sector government schemes are clearly and adequately defined.

[Para 5(xiii) of OM No. 11013/4/2011-Estt.(A) dated 1.12.2011]

#### (D) Outside political influence

A Government servant should not approach MPs/MLAs for sponsoring his individual case as bringing or attempting to bring political or non-official or other outside influence is prohibited under the conduct Rules e.g. Rule 18 of the All India Service (Conduct) Rules, 1968 and Rule 20 of the Central Civil Services (Conduct) Rules, 1964

[Para 5(xiv) of OM No. 11013/4/2011-Estt.(A) dated 1.12.2011]

#### 6. Recommendations of various Parliamentary Committees on this issue:

(i) The Committee on Violation of Protocol Norms and Contemptuous Behaviour of Government Officers with Members of Lok Sabha, in Paragraph 63 of its First Report table in the Lok Sabha on 06.02.2014, has recommended that this Department may sensitize all civil servants and officials in various Ministries and Departments particularly under them for strict compliance of the instructions relating to official dealings issued in this regard between and Administration and the Members of Parliament.

[Para 3 of OM No. 11013/2/2012-Estt.A dated 19.11.2014]

(ii) The Committee on Violation of Protocol Norms and Contemptuous Behaviour of Government Officers with Members of Lok Sabha, at its first sitting held on 28October, 2014, also felt that the consolidated instructions/ guidelines issued by the Department of Personnel & Training (DoPT) on 1 December, 2011 on Official Dealing between Administration and Members of Parliament need to be circulated again to all concerned Executive Functionaries.

[Para 4 of OM No. 11013/2/2012-Estt.A dated 19.11.2014]

(iii) The Committee on Violation of Protocol Norms and Contemptuous Behaviour of Government Officers with Members of Lok Sabha, in its Second Report tabled in the Lok Sabha on 04.01.2018, has recommended that the consolidated instructions/ guidelines on Official dealing between the Administration and Member of Parliament and State Legislature should strictly be complied by all the Government servants, both in letter and spirit.

[Para 3 of OM No. 11013/4/2018-Estt.A-III dated 07.02.2018]

(iv) The Committee on Violation of Protocol Norms and Contemptuous Behaviour of Government Officers with Members of Lok Sabha, in Paragraph 43 of its Third Report tabled in the Lok Sabha on 4.01.2018, has recommended discouraging and desisting the State Governments to involve Private companies/ agencies in organizing/ sponsoring functions which essentially fall under the domain of State Governments.

[Para 4 of OM No. 11013/4/2018-Estt.A-III dated 07.02.2018]

- All Ministries/Departments are requested to ensure that the above basic principles and instructions are followed by all officials concerned, both in letter and spirit. Violation of the guidelines laid down on this subject will be viewed seriously.
- 8. Any violation of relevant Conduct Rules in this regard, which is established after due enquiry, will render the concerned Government servant liable for appropriate punishment as per Rules applicable.
- List of the OMs mentioned in this document is annexed. In case any reference to the relevant OM is required, the same may be accessed from the Archive Section of DOPT's website.
- 10. Hindi version will follow.

(Umesh Kumar Bhatia)

Deputy Secretary to the Government of India

Tel: 011-23094471

To

- The Secretaries of All the Ministries/Departments of Government of India (as per the standard list)
- 2. Chief Secretaries of all States/UTs (as per the standard list)

#### Copy to: -

- 1. Presidents Secretariat, New Delhi
- 2. Vice President Secretariat, New Delhi
- 3. The Prime Minister's Office, New Delhi
- 4. Cabinet Secretariat, New Delhi
- 5. Rajya Sabha Secretariat/ Lok Sabha Secretariat, New Delhi
- 6. The Comptroller and Auditor General of India, New Delhi
- 7. The Secretary, Union Public Service Commission, New Delhi
- 8. The Secretary, Staff Selection Commission, New Delhi
- All attached offices under the Ministry of Personnel, Public Grievances and Pensions
- 10. National Commission for Scheduled Caste, New Delhi
- 11. National Commission for Scheduled Tribes, New Delhi
- 12. National Commission for OBC, New Delhi
- 13. Secretary, National Council (JCM), 13, Feroze Shah Road, New Delhi
- 14. CVOs of all Ministries/ Department
- 15. ADG (M&C), Press Information Bureau, DoPT
- 16. NIC, Department of Personnel & Training, North Block, New Delhi [for uploading the same on the website of this Department]

17. Hindi Section, DoPT

(Umesh Kumar Bhatia)

Deputy Secretary to the Government of India

Tel: 011-23094471

#### **Annexure**

#### List of OMs mentioned in this Document

- 1. OM No. 11013/4/2011-Estt.(A) dated 01.12.2011
- 2. D.O. Letter No. 11013/4/2011-Estt.(A) dated 9.10.2012
- 3. OM No. 11013/2/2012-Estt.(A) dated 19.11.2014
- 4. OM No. 11013/4/2018-Estt.A-III dated 07.02.2018
- OM No. 11013/4/2018-Estt.A-III dated 11.10.2018
- OM No. 11013/4/2018-Estt.A-III dated 10.02.2020
- 7. OM No. 11013/4/2018-Estt.A-III dated 15.03.2021





### भारत सरकार Government of India रेल मंत्रालय Ministry of Railways रेलवे बोर्ड (Railway Board)



No. 2021/O&M/2/13

New Delhi, Dated: 03.11.2021

The General Manager All India Railways/PUs DG/RDSO & DG/NAIR CMDs/MDs of PSUs.

Sub: Official Dealings between the Administration and Members of Parliament and State Legislature-Observance of Proper Procedure

Ref.: Board's letter No. 2014/O&M/2/5 Dated: 07.03.2014

Attention is invited to guidelines contained in Board's letter under reference and the guidelines/instructions issued by the Ministry of Personnel, Public Grievances and Pensions (Department of Personnel and Training) vide their OM No. 11013/4/2018 Estt(A)-III dated 15.03.2021(copy enclosed) on Official dealings between the Administration and Members of Parliament and State Legislatures – observance of proper procedure.

2. It has been brought to notice that the extant instructions are not being followed scrupulously. Any kind of laxity in official dealing/courtesy/promptness is unacceptable and non adherence may invite serious action against the erring Railway Official. As such, it is reiterated that the guidelines as contained in above mentioned Board's letters be complied with.

Encl: Two

(B. Majumdar)
Joint Secretary/Railway Board
jsecyrb@rb.railnet.gov.in
Telephone No.011-23387362
Room No.533-C, 5<sup>th</sup> Floor
Railway Board

Room No 533-C, Rail Bhawan, Raisina Road, New Delhi-110001 कमरा नंबर 533-सी, रेल भवन, रायसीना रोड, नई दिल्ली-110001 1452982/2023/O&M

F. No. 11013/4/2018-Estt.A-III

Government of India

Ministry of Personnel, Public Grievances and Pensions

Department of Personnel & Training

Establishment Division

North Block, New Delhi Dated: 1 March, 2021

#### OFFICE MEMORANDUM

Subject: Official dealings between the Administration and Members of Parliament and State Legislatures - Observance of proper procedure.

The Members of Parliament and State Legislatures, as the accredited representatives of the people, occupy a very important place in our democratic set-up. In connection with their duties, they often find it necessary to seek information from the Ministries/ Departments of the Government of India or the State Governments, or make suggestions for their consideration or ask for Interviews with the officers.

2. The guidelines concerning official dealings between Administration and Members of Parliament and State Legislatures have been issued by the Ministry of Personnel, Public Grievances and Pensions and being reiterated from time to time. The provisions of the Central Secretariat Manual of Office Procedure regarding prompt disposal of communications from MPs have also been reiterated from time to time. However, keeping in view the instances of occasional non-observance of the above guidelines, a need was also felt by the Committee of Privileges of the Lok Sabha for consolidating and reiterating the existing instructions. Accordingly, revised comprehensive guidelines were issued by this Department vide Office Memorandum No. 11013/4/2011-Estt.(A) dated 01.12.2011 (copy enclosed). A copy each of former Secretary (P)'s D.O. letter No. 11013/4/2011-Estt.(A) dated 09.10.2012, O.M. No. 11013/2/2012-Estt.A dated 19.11.2014, O.M. of even No. dated 07.02.2018, 11.10.2018 and 10.02.2020 reiterating the said instructions are also enclosed for ready reference.

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- 3. In fact, recognizing the crucial role of Civil Services in serving the citizens, one of the aims of the recently launched National Programme for Civil Service Capacity Building (NPCSCB) is to create a workforce of public officials that is competent, efficient and trained to face the challenges of ever changing citizen-Government-society interface and all the officials should keep in view the broad underlying principles of this Programme to ensure and provide a citizen-centric governance within their allocated areas of responsibilities.
- 4. In view of the above, all the Ministries/ Departments are once again requested to ensure that instructions issued through aforementioned communications are followed by all officials concerned, both in letter and spirit. Violation of these guidelines will be viewed seriously.
- 6. Chief Secretaries of all States/ UTs are also requested to circulate these instructions to all State Government officials at the State/ Division and District levels and sensitize them with regard to their duties and obligations in so far as the movement of Members of Parliament in general and more particularly during Parliament sessions. It is also requested to periodically review implementation of these instructions.
- 6 Hindi version will follow.

(Umesh Kumar Bhatia)

Deputy Secretary to the Government of India

Encl: As above.

To

- 1. All Ministries / Departments (as per standard list)
- 2. Chief Secretaries of all States / UTs (as per standard list)

-3-

#### Copy to:

- 1. President's Secretariat, New Delhi.
- 2. Vice-President's Secretariat, New Delhl.
- 3. The Prime Minister's Office, New Delhi.
- . 4. Cabinet Secretariat, New Delhi.
- 5. Rajya Sabha Secretariat/Lok Sabha Secretariat, New Delhi.
- 6. Office of the Hon'ble Speaker, Lok Sabha.
- 7. Office of the Comptroller and Auditor General of India, New Delhi.
- 8. Central Vigilance Commission, New Delhi.
- 9. Union Public Service Commission, New Delhl.
- 10. Staff Selection Commission, New Delhi.
- 11. National Commission for Scheduled Castes, New Delhi.
- 12. National Commission for Scheduled Tribes, New Delhi.
- 13. National Commission for OBCs, New Delhi.
- 14. Secretary, National Council (JCM), 13, Feroze Shah Road, New Delhi.
- 15. CVOs of all Ministries/Departments.
- All attached offices under the Ministry of Personnel, Public Grievances and Pensions.
- 17.ADG (M&C), Press Information Bureau, DoP&T
- 18. NIC, Department of Personnel & Training, North Block, New Delhi [for uploading the same on the website of this Ministry under the under the Head Notifications → OMs & Orders→ Establishment—→CCS (Conduct)Rules & What's New]
- 19. Hindi Section, DoP&T for translation in Hindl.

Umesh Kumar Bhatia

Deputy Secretary to the Government of India

# LOK SASHA SECRETARIAT

Telegrams LOKSABHA, NEW DELHI : 23010756 FAX

RAILWAY CONVENTION COMMITTEE BRANCH PARLIAMENT HOUSE ANNEXE NEW DELHI-110001

No.5/1/RCC-09

Dated: 11 February, 2014

# OFFICE MEMORANDUM

Subject :Forwarding instructions of the Government of India regarding 'Official dealings between the Administration and Members of Parliament and State Legislature - Observance of proper procedure' to the Ministry of Raliways.

The undersigned is directed to state that at the sitting of Railway Convention Committee held on 06.02.2014, some Members expressed their serious concern over non adherence to show due courtesy/protocol and failure to invite public representatives at public functions organized by Railway Authorities, thereby violating instructions issued by the Government of India vide Ministry of Personnel, Public Grievances & Pensions O.M.No.11013/4/2011-Estt.(A) dated 01.12.2011 regarding 'official dealings between the Administration and Members of Panlament and State Legislatures' by some of the officials of the Ministry of Railways.

- The Committee has taken a serious note of such aberration and desired that the above Instructions may be forwarded to the Ministry of Railways with a direction to ensure Its strict compliance by all the officials of the Ministry as well as Zonal Railways.
- The receipt of this communication may kindly be acknowledged.

DEPUTY SECRETARY

TEL: 23034688

Encl: As above,

The Ministry of Railways, (Shri Arunendra Kumar, Chairman, Railway Board), Rail Bhawan, New Delhi-110001.

No. 11013/4/2011-Estt. (A)
Government of India
Ministry of Personnel, Public Grievances and Pensions
(Department of Personnel and Training)

North Block, New Delhi. 01st December, 2011

## OFFICE MEMORANDUM

Subject:

Official dealings between the Administration and Members of Parliament and State Legislatures - Observance of proper procedure.

The Members of Parliament and State Legislatures as the accredited representatives of the people occupy a very important place in our democratic set-up. In connection with their duties, they often find it necessary to seek information from the Ministries/Departments of the Government of India or the State Governments, or make suggestions for their consideration or ask for interviews with the officers. Certain well-recognized principles and conventions to govern the relations between the Members of Parliament/ State Legislatures and Government servants have already been established.

- 2. Reference is invited to the guidelines concerning the official dealings between Administration and Members of Parliament and State Legislatures which were issued by the Cabinet Secretariat (Department of Personnel and Administrative Reforms, in the O.M. No 25/19/84-Estt. (A) dated 08.11.1974). The importance of adherence to these guidelines was reiterated in the Department of Personnel and Training's O.M. No. 11013/6/2005-Estt. (A) dated 17.08.2007. The provisions of the Central Secretariat Manual of Office Procedure regarding prompt disposal of communications from MPs have also been reiterated by the Department of Administrative Reforms and Public Grievances. The Minister of State for Personnel, Public Grievances and Pensions has also written to all Ministers in this regard vide D.O letter dated 6th May, 2011, requesting that a mechanism may be set up to periodically monitor progress in disposal of references received from Members of Parliament.
- Some instances of non-adherence to the existing guidelines have been brought to Government's attention by Members of Parliament and a need has been felt for again sensitizing all administrative authorities concerned.
- The Central Secretarist Manual of Office Procedure provides following Instructions for prompt disposal of letters from Members of Parliament:-

Correspondence with Members of Parliament --

(I) Communications received from a Member of Parliament should be attended to promptly.

(2) Where a communication is addressed to a Minister or a Secretary to the Government, it should, as far as praoticable, be replied to by

the Minister or the Secretary himself as the case may be. Where it is not practicable for the Minister to reply, a reply should normally be issued under the signature of an officer of the rank of Secretary to the Government.

- (3) Where a communication is addressed to the head of an attached or subordinate office, Public Sector Undertakings, Financial Institutions (including nationalized banks) Division/Branch in charge in a Ministry/Department/Organization, it should be replied to by the addressee himself. In such cases, care may be taken to ensure that wherever policy issues are involved, approval of the competent authority is obtained before a reply is sent. It should, however, be ensured that the minimum level at which such replies are sent to Member of Parliament is that of Under Secretary and that also in a polite letter form only.
- (4) Information sought by a Member of Parliament should be supplied unless it is of such a nature that it would have been denied to him, if similar information had been sought in Parliament.

(5) While corresponding with Members of Parliament, it should be ensured that the letter is legible. Pre-printed or cyclostyled replies should be scrupulously avoided.

(6) In case a reference from an ex-member of Parliament is addressed to a Minister or Secretary, reply to such reference may be sent by the concerned Divisional Head after obtaining approval of the Secretary of Ministry/Department.

In case the reference is addressed to a lower level officer, reply to such reference could be sent by the officer on his own in non-policy cases and after obtaining approval of the higher authorities in policy cases. Here also, it may be ensured that the minimum level at which a reply is sent is that of an Under Secretary and that too in a polite letter form only.

#### Prompt response to letters received -

- (i) Each communication received from the Member of Parliament, a member of the public, a recognized association or a public body will be acknowledged within 15 days, followed by a reply within the next 15 days of acknowledgement sent.
- (2) Where a delay is anticipated in sending a final reply, or where the information has to be obtained from another Ministry or another office, an interim reply may be sent within a month (from the date of receipt of the communication) indicating the possible date by which a final reply can be given.
- (3) If any such communication is wrongly addressed to a department, it should be transferred promptly (within a week) to the appropriate department under intimation to the party concerned.
- 5. The aforesaid guidelines also cover Official dealings between Administration and Members of Parliament/State Legislatures. In this context, attention is also invited to Rule 8(2A) of All India Service (Conduct)

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Rule, 1968 and Rule 3-A of Central Civil Service (Conduct) Rules, 1964 which provide as follows:-

Every member of the service shall in the discharge of his duties act in a courteous manner and shall not adopt dilatory tactics in his dealings with the public or otherwise.

The existing instructions are hereby appropriately strengthened to emphasize the basic principles to be borne in mind by the Government servants while interacting with the Members of Parliament and State Legislatures. These are as follows:-

- (i) Government servants should show courtesy and consideration to Members of Parliament and State Legislatures;
- (ii) while the Government servants should consider carefully or listen patiently to what the Members of Parliament and of the State Legislatures may have to say, the Government servant should always act according to his own best judgment and as per the rules;
- (III) Any deviation from an appointment made with a Member of Parliament/State Legislature must be promptly explained to him to avoid any possible inconvenience. Fresh appointment should be fixed in consultation with him:
- (iv) An officer should be meticulously correct and courteous and rise to receive and see off a Member of Parliament/State Legislature visiting him. Arrangements may be made to receive the Members of Parliament when, after taking prior appointment, they visit the officer of the Government of India, State Government or local Government. Arrangements may also be made to permit entry of vehicles of the Members to these Offices subject to security requirements/restrictions;
- (v) Members of Parliament of the area should invariably be invited to public functions organized by a Government office. Proper and comfortable seating arrangements at public functions and proper order of seating on the dais should be made for Members keeping in view the fact that they appear above officers of the rank of Secretaries to Government of India in the Warrant of Precedence: The Invitation oards and media events, if organized for the function held in the constituency, may include the names of the Members of that constituency who have confirmed participation in these functions.
- It is clarified that if a constituency of any Member of Parliament is spread over more than one District, the M.P should invariably be invited to all the functions held in any of the Districts which are part of his/her constituency;
- (vi) Where any meeting convened by the Government is to be attended by Members of Parliament, special care should be taken to see that notice is given to them in good time regarding the date, time, venue etc. of the meeting. It should also be ensured that there is no slip in any

matter of detail, however minor it may be. It should especially be ensured that:--

- (a) intimations regarding public meetings/functions are sent through speedler communication devices to the M.Ps, so that they reach them wall in time, and
- (b) that receipt of intimation by the M.P is confirmed by the officer/official concerned;
- (vii) Letters from Members of Parliament and Members of State Legislatures must be promptly acknowledged, and a reply sent at an appropriate level expeditiously as per the relevant provisions of the Central Secretariat Manual of Office Procedure;
- (viii) Information or statistics relating to matters of local importance must be furnished to the MPs and MLAs when asked for. The information so supplied should be specific and answer the points raised. A soft copy of the information should also be sent to the Member via e-mail;
- (ix) If the information sought by a Member of Parliament cannot be given and is to be refused, instructions from a higher authority should be taken and the reasons for not furnishing the information should be given in the reply;
- (x) Wherever any letter from a Member of Parliament is in English and the reply is required to be given in Hindl in terms of the Official Languages Act, 1963 and the rules framed there under, an English translation should also be sent along with the reply for the convenience of such Members of Parliament from non Hindi speaking areas;
- (xi) References from the Committees of Parliament must be attended to promptly; ...
- (xli) The officers should not ignore telephonic messages left for them by the Members of Parliament/State Legislatures in their absence and should try to contact at the earliest the Member of Parliament/State Legislature concerned. These instructions also include SMS and emails received on official mobile telephones which also should be replied to promptly and on priority;
- (xiii) All Ministries/Departments may ensure that the powers of Members of Parliament/State Legislatures as Chairpersons/ Members of committees under various Centrally Sponsored/Central Sector government schemes are clearly and adequately defined; and
- (xiv) A Government servant should not approach MPs/MLAs for sponsoring his individual case as bringing or attempting to bring political or non-official or other outside influence is prohibited under the conduct Rules e.g. Rule 18 of the All India Service (Conduct) Rules, 1968 and Rule 20 of the Central Civil Services (Conduct) Rules.

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- 6. All Ministries/Departments are requested to ensure that the above basic principles and instructions are followed by all officials concerned, both in letter and spirit. Violation of the guidelines laid down on this subject will be viewed seriously.
- 7. Any violation of relevant Conduct Rules in this regard, which violation is established after due enquiry will render the Government servant concerned liable for appropriate punishment as per Rule.

(O.A. Subramanian)

Joint Secretary to the Government of India

TO

All the Ministries/Departments of Government of India

#### Copy to:--

- 1. Presidents Secretariat/ Vice Presidents Secretariat.
- 2. PMO/Oabinet Secretariat.
- 3. Comptroller and Auditor General of India.
- 4. UPSC/SSC/LBSNAA/ISTM/OVC/OIC.
- 5. Lok Sabha Socretariat/ Rajya Sabha Seoretariat.
- 6. All Officers/Sections in Ministry of Personnel, PG & Pension.
- NIC, DOPT-please upload this OM on this Department's website.

#### Copy also to:

Ohief Secretaries of all States / UTs with a request to circulate these instructions to all State Government officials at the State/Division and District levels and to periodically review implementation.

(C.A. Subramanlan)

Joint Secretary to the Government of India



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D.O.No.11015/4/2011-Estt.A

YEST WYDY कार्विक और प्रशिक्षण कियान क्यांनिक, लोक शिकायण सभा रोशन भंत्रालय नोर्थ काक, नई विस्ती - 110001 GOVERNMENT OF INDIA

DEPARTMENT OF PERSONNEL & TRAINING MINISTRY OF PERSONNEL, PUBLIC GRIEVANCES AND PENSIONS

NORTH BLOCK, NEW DELHI - 110001

Dear Chief Secretary,

You would be aware that guidelines concerning official dealings between Administration and Members of Parliament and State Legislatures have been issued by the Ministry of Personnel, Public Grievances and Pansions. However, keeping in view certain instances of occasional non-observance of the above guidelines, the Committee of Privileges of the Lok Sabha recommended consolidation and reflectation of the existing instructions so that all concerned are aware of the same. Accordingly, revised comprehensive guidelines have been issued by this Department vide Office Memorandum No. 17013/4/2017-Ustt.A deted 1st December, 2017. Cupy of this O.M. was also forwarded to the Chief Secretaries of all-the States/UTs vide D.O. letter dated 8.12,2011 from my predecessor with the request that these instructions may be circulated to all officials of the State Government for compliance.

- A copy of the above instructions dated In December, 2011 is again enclosed. It is once again requested that these instructions may be circulated to all officials of the State Government for compliance.
- Some Members of Parliament have brought to the notice of this Department directly or through Lok Sabha / Rajya Sabha Secretariat that District level officials have not been showing them due regard and courtesy. This has also been relsed in the recent meeting of the Committee on violation of Protocol Norms and Contemptuous Schevious of Government Officers with Members of Lok Sabha constituted by the Hon'ble Speaker, It is, therefore, advised that implementation of the above mentioned instructions are to be periodically reiterated and raviewed in the Conference of District Magistrates / Collectors , and SuperIntendent of Police of your States / UTs
- You may please issue necessary directions to the district level officers and send a copy to this Department for its onward transmission to the Lok Sabha Secretariat, as desired by them.

With regards,

Yours sincerely,

(By Name) Chief Secretaries of all States / UTs As here list



-10-

#### Cupy alanguith enclosures to:

- Sh. Sanjay Kotheri, Secretary, D/o Administrative Reforms and Public Grievances, Sardar Patel Bhawan, New Delhi with the request to make it as one of the Agenda Items for classing in the Annual Chief Secretaries Conference.
- Sh. V.R. Ramesh, Joint Secretary, Tok Sabha Secretarial (Privileges & Ethics Branch, Parliament House, New Deith w.r.t. their O.M. No. 12/1/2012: CoPV dated 13.9.2012.
- J. Sh. Alok Rawat, Secretary (Coordination), Cabinet Secretariat, New Delhi:

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F.No. 11013/2/2012-Bstt.A.
Oovernment of India
Ministry of Personnel, Public Grievances and Pensions
Department of Personnel & Training
Establishment Division

North Block, New Delhi Dated November 19th, 2014

Subject:

Official dealings between the Administration and Members of Parliament and State legislatures - Observance of proper procedure.

The Members of Parliament and State Legislatures as the accredited representatives of the people occupy a very important place in our democratic set-up. In connection with their duties, they often find it necessary to seek information from the Ministries! Departments of the Government of India or the state Governments, or make suggestions for their consideration or ask for interviews with the officers.

- 2. The guidelines concerning official dealings between Administration and Members of Parliament and State Legislatures have been issued by the Ministry of Personnel, Public Orlevances and Pensions and reiterated from time to time. The provisions of the Central Secretarist Manual of Office Procedure regarding prompt disposal of communications from MPs have also been reiterated from time to time. However, keeping in view the instances of occasional non-observance of the above guidelines, a need was felt by the Committee of Privileges of the Lok Sabha for consolidating and reiterating the existing instructions. Accordingly, revised comprehensive guidelines were issued by this Department vide Office Memorandum No. 11013/4/2011-Estt.(A) dated 1st December 2011 (copy enclosed). A copy of former Secretary (P)'s D.O. No. 11013/4/2011-Estt.(A) dated 9th October, 2012 reiterating the said instructions is also enclosed for ready reference.
- 3. The Committee on Violation of Protocol Norms and Contemptuous Behaviour of Government Officers with Members of Lok Sabha in Paragraph 63 of its First Report tabled in the Lok Sabha on 06.02.2014 has recommended that this Department may sensitise all civil servants and officials in various Ministries and Departments particularly under them for strict compliance of the instructions relating to official dealings issued in this regard between the Administration and the Members of Parliament.
- 4. The Committee on Violation of Protocol Norms and Contemptuous Behaviour of Covernment Officers with Members of Lok Sabha at its first sitting held on 28 October, 2014, also felt that the consolidated instructions guidelines issued by the Department of Personnel & Training (DoPT) on 1 December, 2011 on Official Dealing between Administration and Members of Parliament need to be again circulated to all concerned Executive Functionaries.
- All Ministries/ Departments are requested to ensure that the above basic principles and instructions are followed by all officials concerned, both in letter in spirit. Violation of the guidelines laid down on this subject will be viewed seriously.
- 6. Chief Secretaries of all States/ UTs are requested to circulate these instructions to all State Government officials at the State/ Division and District levels and to periodically review implementation.

(J.A. Valdyanathan) Director (Establishment)

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1. All Ministries / Departments (as per standard list)

2. Chief Scoretaries of all States / UTs (as per standard list)

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F. No. 11013/4/2018-Estt.A-III

Government of India

Ministry of Personnel, Public Grievances and Pensions

Department of Personnel & Training

Establishment Division

North Block, New Delhi Dated 7th February, 2018

#### OFFICE MEMORANDUM

Subject: Official dealings between the Administration and Members of Parliament and State legislatures - Observance of proper procedure.

The Members of Parliament and State Legislatures, as the accredited representatives of the people, occupy a very important place in our democratic set-up. In connection with their duties, they often find it necessary to seek information from the Ministries/ Departments of the Government of India or the State Governments, or make suggestions for their consideration or ask for interviews with the officers.

- 2. The guidelines concerning official dealings between Administration and Members of Parliament and State Legislatures have been issued by the Ministry of Personnel, Public Grievances and Pensions and reiterated from time to time. The provisions of the Central Secretariat Manual of Office Procedure regarding prompt disposal of communications from MPs have also been reiterated from time to time. However, keeping in view the instances of occasional non-observance of the above guidelines, a need was felt by the Committee of Privileges of the Lok Sabha for consolidating and reiterating the existing instructions. Accordingly, revised comprehensive guidelines were lesued by this Department vide Office Memorandum No. 11013/4/2011-Estt.(A) dated 1st December 2011 (copy enclosed). A copy of former Secretary (P)'s D.O. No. 11013/4/2011-Estt.(A) dated 9th October, 2012 and O.M. No. 11013/2/2012-Estt.A dated 19.11.20104 reiterating the said instructions are also enclosed for ready reference.
- 3. The Committee on Violation of Protocol Norms and Contemptuous Behaviour of Government Officers with Members of Lok Sabha in its Second Report tabled in the Lok Sabha on 4.01.2018 has recommended that the consolidated instructions/ guidelines on Official dealing between the Administration and Member of Parliament and State Legislature should strictly be complied by all the Government servants, both in letter and spirit.
- 4. The Committee on Violation of Protocol Norms and Contemptuous Behaviour of Government Officers with Members of Lok Sabha in Paragraph 48 of its Third Report tabled in the Lok Sabha on 4.01.2018 has recommended discouraging and desisting the State Governments to involve Private companies/ agencies in organizing/ sponsoring functions which essentially fall under the domain of State Governments.

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- 5. All Ministries/ Departments are requested to ensure that the above basic principles and instructions are followed by all officials concerned, both in letter and spirit. Violation of the guidelines laid down on this subject will be viewed seriously.
  - Chief Secretaries of all States/ UTs are requested to circulate these
    instructions to all State Government officials at the State/ Division and District
    levels and to periodically review implementation.

(Nitin Cupta)
Under Secretary to the Government of India
Tel: 011-23040264

Encl: As above.

To

1. All Ministries / Departments (as per standard list)

2. Chief Secretaries of all States / UTs (as per standard list)

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Government of India
Ministry of Personnel, Public Grievances and Pensions
Department of Personnel & Training
Establishment Division

North Block, New Delhi Dated 11 October, 2018

#### OFFICE MEMORANDUM

Subject: Official dealings between the Administration and Members of Parliament and State legislatures - Observance of proper procedure.

The undersigned is directed to refer to this Department's Office Memorandum No. 11013/4/2011-Estt.(A) dated 1st December 2011 subsequently reiterated vide D.O. letter dated the October 9, 2012 from Secretary (Personnel), O.M. No. 11013/2/2012-Estt.A dated 19.11.2014 and O.M. of even No. dated 7.02.2018 (copies enclosed) on the subject mentioned above and to reiterate these instructions for strict compliance on the recommendations of the Committee of Privileges, Lok Sabha in its Sixth Report tabled in the Lok Sabha on 20.12.2017 and Committee on Violation of Protocol Norms and Contemptuous Behaviour of Government Officers with Members of Lok Sabha in its Fourth and Fifth Report tabled in the Lok Sabha on 01.08.2018.

- All Ministries/ Departments are requested to ensure that instructions issued through aforementioned communications are followed by all officials concerned, both in letter and spirit. Violation of these guidelines will be viewed seriously.
- 3. Chief Scoretaries of all States/ UTs are requested circulate these instructions to all State Government officials at the State/ Division and District levels and sensitize them with regard to their duties and obligations in so far as the movement of Members of Parliament in general and more particularly during Parliament sessions. It is also requested to periodically review implementation of these instructions.
- Hindi version will follow

(Nitin Gupta)
Under Secretary to the Government of India
Tel: 011-28040264

Encl: As above.

To

All Ministries / Departments (as per standard list)

2. Chief Secretaries of all States / UTs (as per standard list)

Copy to:

Secretary, Ministry of Home Affairs, North Block, New Delhi - with the request to take appropriate action with regard to recommendation at para 44 of Committee of Privileges, Lok Sabha in its Sixth Report tabled in the Lok Sabha on 20.12.2017 (copy enclosed).

1452982/2023/O&M

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F. No. 11013/4/2018 Estt.A-III

Government of India

Ministry of Personnel, Public Grievances and Pensions

Department of Personnel & Training

Establishment Division

North Block, New Delhi Dated Of February, 2020

#### OFFICE MEMORANDUM

Subject:

Official dealings between the Administration and Members of Parliament and State legislatures - Observance of proper procedure.

The Members of Parliament and State Legislatures, as the accredited representatives of the people, occupy a very important place in our democratic set-up. In connection with their duties, they often find it necessary to seek information from the Ministries/ Departments of the Government of India or the State Governments, or make suggestions for their consideration or ask for interviews with the officers.

- 2. The guidelines concerning official dealings between Administration and Members of Parliament and State Legislatures have been issued by the Ministry of Personnel, Public Grievances and Pensions and being reiterated from time to time. The provisions of the Central Secretariat Manual of Office Procedure regarding prompt disposal of communications from MPs have also been reiterated from time to time. However, keeping in view the instances of occasional non-observance of the above guidelines, a need was also felt by the Committee of Privileges of the Lok Sabha for consolidating and reiterating the existing instructions. Accordingly, revised comprehensive guidelines were issued by this Department vide Office Memorandum No. 11013/4/2011-Estt.(A) dated 1st December 2011 (copy enclosed). A copy of former Secretary (P)'s D.O. No. 11013/4/2011-Estt.(A) dated 9th October, 2012, O.M. No. 11013/2/2012-Estt.A dated 19:11.2014, O.M. of even No. dated 7.02.2018 and 11.10.2018 reiterating the said instructions are also enclosed for ready reference.
  - 3. Instances have come to the notice of this Department on violation of Protocol Norms laid in the guidelines. Therefore, all the Ministries/Departments are once again requested to ensure that instructions issued through aforementioned communications are followed by all officials concerned, both in letter and spirit. Violation of these guidelines will be viewed seriously.

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- 3. Chief Secretaries of all States/ UTs are also requested to circulate these instructions to all State Government officials at the State/ Division and District levels and sensitize them with regard to their duties and obligations in so far as the movement of Members of Parliament in general and more particularly during Parliament sessions. It is also requested to periodically review implementation of these instructions.
- 4. Hindi version will follow

(Umesh Kumar Bhatia)

Deputy Secretary to the Government of India Tel: 011-23094471

Encl: As above,

To

1. The Secretaries of All Ministries/Departments (as per the standard list)

2. Chief Secretaries of all States/UTs (as per the standard list)

#### Copy to:

Rajya Sabha Secretariat/Lok Sabha Secretariat, New Delhi.

 PSO to Secretary (P)/Sr. PPS to Establishment Officer/Sr. PPS to AS (SRK), PPS to AS (LR)/PPS to AS (SC)

3. All Divisional Heads of DOPT

4. All Under Secretaries/Section Officers of DOPT

5. NIC, Department of Personnel & Training, North Block, New Delhi [ for uploading the same on the website of this Ministry under the Head Notifications >> OMs & Orders >> Establishment >> CCS (Conduct) Rules & What's New]

6. Hindi Section, DoP&T